

STRUCTURAL REQUIREMENTS IN CHIRAL DIPHOSPHINE-RHODIUM COMPLEXES. II¹
N.M.R. DETERMINATION OF E,Z-GEOMETRY IN PROCHIRAL SUBSTRATES USED IN ASYMMETRIC
HYDROGENATION REACTIONS α -ACETAMIDOCINNAMIC ACIDS, ESTERS, AND PARENT AZLACTONES

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Summary. Using n.m.r. the configuration of the stable 4-benzylidene-2-methyl-2-oxazolin-5-one, substituted derivatives, and the corresponding acids and esters derived from the parent stable azlactones were all found to be of Z-stereochemistry.

Substituted and unsubstituted α -acetamidocinnamic acids have been investigated as prochiral substrates in asymmetric hydrogenation reactions. High optical yields (80-96 % ee) of N-acetylphenylalanine and its derivatives have been obtained utilizing homogeneous rhodium complexes containing chiral mono- or diphosphines²⁻⁴. Yet mechanistic interpretation of these studies is hampered by lack of information regarding the olefinic bond geometry in the α -acetamidocinnamic acid and ester prochiral substrates.

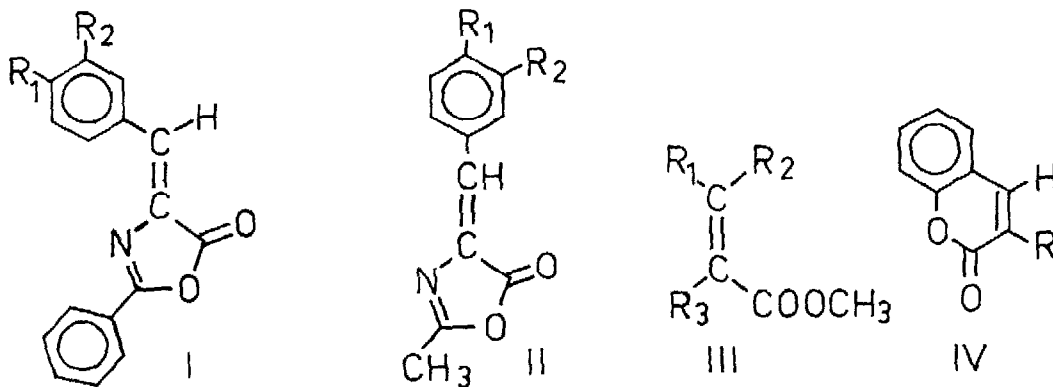
The E,Z-geometry of analogous α -benzamidoacetic acids has been determined by n.m.r. studies⁵. Thus, the stable isomers of 4-benzylidene-2-phenyl-2-oxazolin-5-one (Ia) and 4-(3',4'-dimethoxybenzylidene)-2-phenyl-2-oxazolin-5-one (Ib) were assigned Z-stereochemistry while the labile azlactones are the corresponding E-isomers. Since solvolysis of the azlactones proceed with retention of configuration,⁵⁻⁹ the configurational assignment of the corresponding acids and esters is that of the parent azlactones.

However, the steric and electronic nature of the 2-methyl group in the parent and aryl-substituted 4-benzylidene-2-methyl-2-oxazolin-5-ones (II) differs from that of the 2-phenyl group in analogues I. Therefore, the configurational constraints causing the stable 2-phenyl azlactones (I) to be Z, need not be the same for the 2-methyl azlactones (II).

The stable isomers of the 2-methyl azlactones (II) were all synthesized by known chemical methods.¹⁰⁻¹³ Using a modified n.m.r. approach based upon the work of Nauta and coworkers,⁵ we have determined that the stereochemistry of the stable isomers of the 2-methyl azlactones (II) and their solvolysis products is Z also (as in the stable 2-phenyl azlactones (I)). The n.m.r. spectra of the compounds II-IV are listed in tables 1 and 2.

From table 1 we can see that the stable 2-methyl azlactones (II) all have an H _{β} proton signal at δ 6.97 \pm 0.05. If this signal can be unambiguously assigned as that of the E- or Z-proton for any one of the azlactones in this table, then it is reasonable to assume that the configuration of the other azlactones will also be known.

From table 2 the n.m.r. spectrum of methyl α -acetamidoacrylate (IIIb) shows two olefinic protons at δ 6.47 and 5.79, compared to the parent methyl acrylate (IIIa) signals of H₁ = 5.82 δ

Ia $R_1 = R_2 = H$ Ib $R_1 = R_2 = OCH_3$ IIa $R_1 = R_2 = H$ IIb $R_1 = OAc, R_2 = H$ IIc $R_1 = OAc, R_2 = OCH_3$ IId $R_1 = R_2 = OCH_3$ IIe R_1 and $R_2 = OCH_2O$ IIIa $R_1 = R_2 = R_3 = H$ IIIb $R_1 = R_2 = H,$ $R_3 = NHCOCH_3$ IIIc $R_1 = p\text{-AcOC}_6\text{H}_4,$ $R_2 = H, R_3 = NHCOCH_3$ IIId $R_1 = H, R_2 = p\text{-AcOC}_6\text{H}_4,$ $R_3 = NHCOCH_3$ IIIe $R_1 = C_6H_5, R_2 = R_3 = H$ IIIf $R_1 = R_3 = H, R_2 = C_6H_5$ IVa $R = H$ IVb $R = NHCOCH_3$

(trans to $COOCH_3$ group) and $H_2 = 6.38 \delta$ (cis to $COOCH_3$ group). There are two ways to estimate the effect of an α -acetamido group upon the chemical shift of the H_β protons, H_1 and H_2

METHOD 1 Arbitrarily assign the 6.47δ and 5.79δ signals to H_1 and H_2 , respectively. Thus, when compared to the parent compound IIIa, the α -acetamido group has caused a downfield shift of $+0.65 \delta$ to proton H_1 and an upfield shift of -0.59δ to proton H_2

METHOD 2 Reverse the assignment of the 6.47δ and 5.79δ signals so that they are now those of H_2 and H_1 , respectively. Thus, when compared to the parent compound IIIa, the α -acetamido group has caused an upfield shift of -0.03δ to proton H_1 and a downfield shift of $+0.09 \delta$ to proton H_2 .

Comparison of the H(4) signal in coumarin (IVa) and 3-acetamidocoumarin (IVb) shows that the 3-acetamido group causes a downfield shift of $+0.88 \delta$ to the cis-proton H(4). Therefore, method 1 seems to provide a more reasonable assessment of the effect of the α -acetamido group upon the cis-proton H_1 and the trans-proton H_2 (cis and trans designation relative to the α -acetamido group in IIIb)

Comparison of the Z- and E-methyl cinnamates (IIIe and IIIf) with that of the parent compound IIIa, shows that the substitution of a phenyl group has resulted in a downfield shift of $+1.33 \delta$ and $+1.09 \delta$ to the H_β protons in IIIe and IIIf, respectively. Assuming the substituent effects of the p-acetoxyphenyl group to be similar to that of the phenyl group itself, the chemical shifts of the H_β proton in the Z-methyl α -acetamido-p-acetoxycinnamate (IIIc) and in the E-isomer, IIId, can now be estimated.

Table 1 N.M.R. CHEMICAL SHIFTS OF THE STABLE 2-METHYL AZLACTONES (II)^a

Compd.	mp ^b	H _β	H(2)	H(5)	H(6)	CH ₃ C=N	other
IIa	147-148 ^c	7.02(S)	7.94±0.06(M)	7.31±0.05(M)	7.94±0.06(M)	2.36(S)	-
IIb	136-138 ^d	6.98(S)	7.98(D) (J = 8.5)	7.05(D) (J = 8.5)	7.98(D) (J = 8.5)	2.34(S)	2.26(S) (CH ₃ CO)
IIc	143-145 ^e	6.95(S)	7.72(D) (J _{2,6} = 2)	6.95(D) (J _{5,6} = 8)	7.38(D of D) (J _{2,6} = 2) (J _{5,6} = 8)	2.33(S)	2.27(S) (CH ₃ CO) 3.82(S) (CH ₃ OPh)
IIId	165-166 ^f	6.96(S)	7.77(D) (J _{2,6} = 2)	6.79(D) (J _{5,6} = 8)	7.38(D of D) (J _{2,6} = 2) (J _{5,6} = 8)	2.33(S)	3.87(S) (CH ₃ OPh)
IIe	178-180 ^g	6.93(S)	7.79(D) (J _{2,6} = 2)	6.74(D) (J _{5,6} = 8)	7.29(D of D) (J _{2,6} = 2) (J _{5,6} = 8)	2.33(S)	5.95(S) (OCH ₂ O)

Table 2 N M R. CHEMICAL SHIFTS OF COMPOUNDS III-IV^a

Compd.	mp ^b	H _β	H(2,6)	H(3,5)	NH	CH ₃ CON	other
IIIa		5.82 ^h (R ₁ = H) 6.38 ^h (R ₂ = H)	-	-	-	-	-
IIIb ¹	36-38	6.47(S) 5.79(D) (J = 1)	-	-	7.71 (broad S)	2.11(S)	3.79(S) (CH ₃ O)
IIIcd ^j	128-129	7.21(S)	7.36(D) (J = 8.5)	6.96(D) (J = 8.5)	- ^k	2.00(S)	3.75(S) (CH ₃ O) 2.25(S) (CH ₃ COO)
IIIe ^l		7.71(D) (J = 16)	-	-	-	-	-
IIIff ¹		6.91(D) (J = 13)	-	-	-	-	-
IVa ^m		7.80	-	-	-	-	-
IVb ⁿ		8.68	-	-	8.1	2.25	-

^athe spectra were measured with a Varian XL-100 (CDCl₃, ca 38°C), and the chemical shifts are expressed in δ values (p.p.m.) relative to internal Me₄Si, J values are in Hz. ^bMelting points (°C) are uncorrected. ^clit. ¹⁰ 148-150°. ^dlit. ¹¹ 138-139°. ^elit. ¹² 144-148°. ^flit. ¹³ 167°. ^glit. ¹³ 181°. ^hVarian spectra catalogue, spectrum No. 64. ¹synthesized by reaction of CH₂N₂ and α-acetamidoacrylic acid. ^jdata for the stable ester (synthesized by reaction of CH₂N₂ with α-acetamido-p-acetoxycinnamic acid (mp 233-235 dec) obtained by neutral hydrolysis of the stable azlactone IIb. ^ksignal buried under those of aromatic protons. ^lref. 8. ^mref. 14. ⁿref. 15.

The chemical shifts of the H_1 (6.47 δ) and H_2 (5.79 δ) protons in methyl α -acetamidoacrylate (IIIb) can be added to the substituent effects of the *p*-acetoxyphenyl group upon H_1 (+1.09 δ) and H_2 (+1.33 δ), respectively. Therefore, the chemical shift of the H_β proton in the *Z*-isomer IIIc is estimated to be 7.12 δ , while that in the *E*-isomer IIId is estimated to be 7.56 δ . The value of 7.21 δ found for the stable methyl α -acetamido-*p*-acetoxycinnamate (mp 128-129°C) is close ($\Delta\delta = 0.09$) to the estimated value of the H_β proton (7.12 δ) expected in the *Z*-isomer IIIc.

A singlet at 7.21 δ is also found in the n.m.r. spectrum of the stable methyl α -acetamido-3'-methoxy-4'-acetoxycinnamate (mp 173.5-175°C), but it cannot be unequivocally assigned due to multiplets for the H(2) and H(6) protons.

Method 1 shows the α -acetamido group to cause an upfield shift of -0.59 δ upon the trans (H_2) proton in methyl α -acetamidoacrylate (IIIb). This is consistent with the n.m.r. data of *Z*-methyl cinnamate (IIIe). The H_β proton in the *Z*-isomer IIIc is moved by the α -acetamido group upfield (-0.50 δ) relative to the H_β proton in *Z*-methyl cinnamate (IIIe).

Moreover, the larger downfield effect of +0.98 δ of an α -benzamido group upon the proton *cis* to it in methyl α -benzamidoacrylate,⁵ relative to that of +0.65 δ for an α -acetamido group, is also consistent with the assignment. Furthermore, use of this method predicts the H_β protons to be at 7.34 δ and 7.89 δ and *Z*- and *E*-methyl α -benzamido-3',4'-dimethoxycinnamates, respectively, compared to the experimentally determined values of 7.44 δ and 8.00 δ .⁵

Since the stereochemistry of the stable IIIc ester is now assigned as *Z*, the configuration of the parent azlactone IIb and the others (IIa-e) may also be considered to be *Z*.

Finally, the n.m.r. assignment of *Z*-stereochemistry to the stable azlactone isomer IIb is further strengthened by the x-ray analysis of the 199°C mp isomer of α -benzamidoacinnamic acid.⁹ This isomer (obtained by hydrolysis of the stable 2-phenyl azlactone Ia, mp 165-166°C) was assigned the *Z*-configuration by n.m.r.,⁵ and this subsequently was confirmed by x-ray analysis.⁹

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